

12/08/99



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Express Mail Label No. EL399233355US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Pre application of:

Gary K. Michelson, M.D.

Serial No: N/A

Filed: December 8, 1999

For: SPINAL IMPLANT SURFACE CONFIGURATION



Box PATENT APPLICATION
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application identified above.

- ☒ 7 sheet(s) of drawings (☐ formal ☒ informal) is(are) enclosed.
- ☒ 48 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☐ An assignment of the invention to ☐ is enclosed ☐ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 & 1.27 is enclosed.
- ☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.
- ☐ A certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- ☒ IDS enclosed (☒ with references).
- ☐ Preliminary Amendment is enclosed.

CALCULATION OF FEES

ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	202	-20	182	LG=\$18 SM=\$9	\$18	\$3,276	
B	INDEPENDENT CLAIMS FEE*	7	-3	4	LG=\$78 SM=\$39	\$78	\$ 312	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 3,588
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$260 SMALL ENTITY FEE = \$130		\$ 0
E	BASIC FEE					LARGE ENTITY FEE = \$760 SMALL ENTITY FEE = \$380		\$ 760
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 4,348
G	ASSIGNMENT RECORDING FEE						\$ 40	\$ 0
	*LIST INDEPENDENT CLAIMS [LIST OF INDEPENDENT CLAIMS]							

- ☒ A check in the amount of \$ 4,348 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ to cover Assignment Recordation fee is enclosed.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gary K. Michelson

Serial No: N/A

Filed: December 8, 1999

For: SPINAL IMPLANT SURFACE
CONFIGURATION

Art Unit: N/A

Examiner: N/A

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL399233355US

Date of Deposit: December 8, 1999

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$.00 as filing fee
- ☒ Patent application (48 page(s) of specification; 202 claim(s); 1 page(s) of abstract
- ☒ 7 sheet(s) of informal drawings
- ☒ executed Declaration and Power of Attorney
- ☒ Information Disclosure Statement
- ☒ Form PTO 1449 (with 7 references)
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Date: December 8, 1999

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Patricia Hyland

Name of person mailing papers

Patricia E. Hyland
Signature